

SP01-319



FORM PTO-1449 (MODIFIED)

LIST OF PATENTS AND  
PUBLICATIONS  
FOR APPLICANTS INFORMATION  
DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.

SP01-319

SERIAL NO.

10/016113

APPLICANT Robert A. Boudreau, et al.

FILING DATE 11/2/2001

GROUP: 2874

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

| Examiner<br>Initial |    | Document Number | Date     | Name              | Class | Sub-<br>Class | Filing Date<br>if Approp. |
|---------------------|----|-----------------|----------|-------------------|-------|---------------|---------------------------|
| <i>AB</i>           | AA | 4,826,272       | 5/2/89   | Pimpinella, et al | 350   | 96.20         |                           |
| <i>AB</i>           | AB | 5,247,597       | 9/21/93  | Blacha, et al     | 385   | 88            |                           |
| <i>AB</i>           | AC | 6,483,969B1     | 11/19/02 | Yap, et al        | 385   | 52            |                           |
|                     | AD |                 |          |                   |       |               |                           |
|                     | AE |                 |          |                   |       |               |                           |
|                     | AF |                 |          |                   |       |               |                           |
|                     | AG |                 |          |                   |       |               |                           |
|                     | AH |                 |          |                   |       |               |                           |
|                     | AI |                 |          |                   |       |               |                           |
|                     | AJ |                 |          |                   |       |               |                           |
|                     | AK |                 |          |                   |       |               |                           |

## FOREIGN PATENT DOCUMENTS

|  |    | Document Number | Date | Country | Class | Sub-<br>Class | Translation<br>Yes No |
|--|----|-----------------|------|---------|-------|---------------|-----------------------|
|  | AL |                 |      |         |       |               |                       |
|  | AM |                 |      |         |       |               |                       |
|  | AN |                 |      |         |       |               |                       |
|  | AO |                 |      |         |       |               |                       |
|  | AP |                 |      |         |       |               |                       |
|  | AQ |                 |      |         |       |               |                       |

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

|  |    |  |  |  |  |  |  |
|--|----|--|--|--|--|--|--|
|  | AR |  |  |  |  |  |  |
|  | AS |  |  |  |  |  |  |
|  | AT |  |  |  |  |  |  |
|  | AU |  |  |  |  |  |  |
|  | AV |  |  |  |  |  |  |
|  | AW |  |  |  |  |  |  |

EXAMINER:

*Juliana Kang*

DATE CONSIDERED:

*9/30/03*

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MHEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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|---|---------------------------|-------------|
| FORM PTO-1449 (MODIFIED)  | ATTORNEY DOCKET NO.       | SERIAL NO.  |
| LIST OF PATENTS AND<br>PUBLICATIONS<br>FOR APPLICANTS INFORMATION<br>DISCLOSURE STATEMENT | SP01-319                  |             |
|   | APPLICANT Boudreau et al. |             |
|   | FILING DATE               | GROUP: 2874 |

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10/06/13  
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| REFERENCE DESIGNATION |    | U.S. PATENT DOCUMENTS |         |               |       |           |                        |
|-----------------------|----|-----------------------|---------|---------------|-------|-----------|------------------------|
| Examiner Initial      |    | Document Number       | Date    | Name          | Class | Sub-Class | Filing Date if Approp. |
| <i>Sh</i>             | AA | 6,195,495B1           | 2/2/01  | Ota, et al    | 385   | 137       |                        |
| <i>Sh</i>             | AB | 5,778,123             | 7/7/98  | Hagan, et al  | 385   | 76        |                        |
| <i>Sh</i>             | AC | 5,940,562             | 8/17/99 | Henson, et al | 385   | 88        |                        |
| <i>Sh</i>             | AD | 5,343,544             | 8/30/94 | Boyd, et al   | 385   | 46        |                        |
| <i>Sh</i>             | AE | 5,311,604             | 5/10/94 | Rogner, et al | 385   | 14        |                        |
|                       | AF |                       |         |               |       |           |                        |
|                       | AG |                       |         |               |       |           |                        |
|                       | AH |                       |         |               |       |           |                        |
|                       | AI |                       |         |               |       |           |                        |
|                       | AJ |                       |         |               |       |           |                        |
|                       | AK |                       |         |               |       |           |                        |

| FOREIGN PATENT DOCUMENTS |    |                 |         |         |       |           |                    |
|--------------------------|----|-----------------|---------|---------|-------|-----------|--------------------|
|                          |    | Document Number | Date    | Country | Class | Sub-Class | Translation Yes No |
| <i>Sh</i>                | AL | WO96/21875      | 7/18/96 | PCT     |       |           |                    |
|                          | AM |                 |         |         |       |           |                    |
|                          | AN |                 |         |         |       |           |                    |
|                          | AO |                 |         |         |       |           |                    |
|                          | AP |                 |         |         |       |           |                    |
|                          | AQ |                 |         |         |       |           |                    |

|           |    | OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)  |  |  |  |  |  |
|-----------|----|---|--|--|--|--|--|
| <i>Sh</i> | AR | "Hybrid optoelectronic integration of transmitter arrays on silicon waferboard" Armiento, et al Optoelectronics for Communication and Processing 112, SPIE Vol. 1582                          |  |  |  |  |  |
| <i>Sh</i> | AS | "A Surface Mount Single-Mode Laser Module Using Passive Alignment" Kurata, et al IEEE Transactions on Components, Packaging, and Manufacturing Technology Part B, Vol. 19, No. 3, August 1996 |  |  |  |  |  |
|           | AT |   |  |  |  |  |  |
|           | AU |   |  |  |  |  |  |
|           | AV |   |  |  |  |  |  |
|           | AW |   |  |  |  |  |  |

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|--|---------------------------------|
| EXAMINER: <i>Juhana Kang</i>   | DATE CONSIDERED: <i>9/30/03</i> |
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